



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/623,843	07/21/2003	Veronika Polei	P2002,0618	6526
24131	7590	10/31/2005	EXAMINER	
LERNER AND GREENBERG, PA			NGUYEN, KHIEM D	
P O BOX 2480			ART UNIT	
HOLLYWOOD, FL 33022-2480			PAPER NUMBER	
			2823	

DATE MAILED: 10/31/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Ex

Office Action Summary	Application No.	Applicant(s)	
	10/623,843	POLEI ET AL.	
	Examiner	Art Unit	
	Khiem D. Nguyen	2823	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 24 August 2005.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-8 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 4-7 is/are allowed.
- 6) ☒ Claim(s) 1-3 and 8 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 21 July 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

FIG.4.1

Art Unit: 2823

applying a lower boundary layer 40 and a storage layer 50 to the semiconductor body 36 (col. 3, lines 25-35 and FIG. 4.1);

applying a sacrificial layer 52 made from a material selectively etchable with respect to the storage layer 50 and to polysilicon onto the storage layer 50 (col. 3, lines 25-35 and FIG. 4.1);

producing openings 44, 46 in the sacrificial layer 52, the storage layer 50, and the lower boundary layer 40, extending to the semiconductor body 36, the openings 44, 46 being produced above regions where buried bit lines are to be produced (col. 3, lines 14-35 and FIG. 4.1);

introducing doped polysilicon 54 into the openings 44, 46 (col. 3, lines 36-46 and FIG. 4.2);

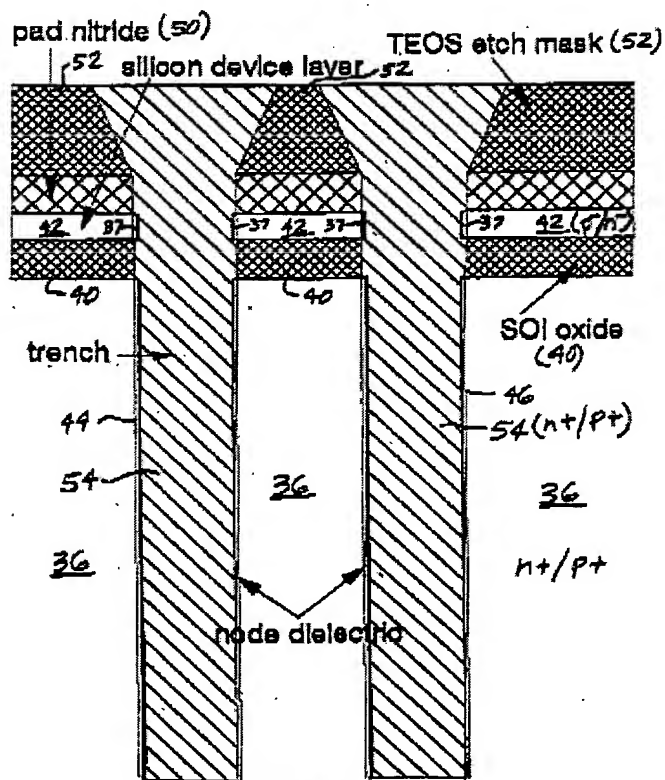
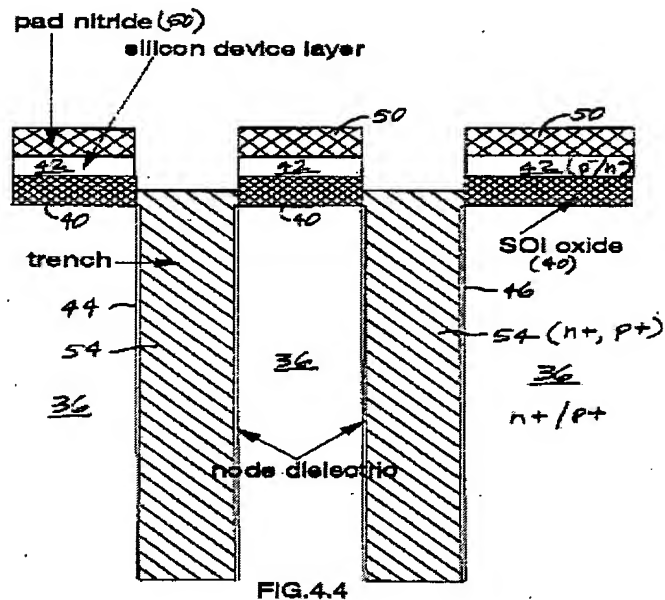


FIG. 4.2

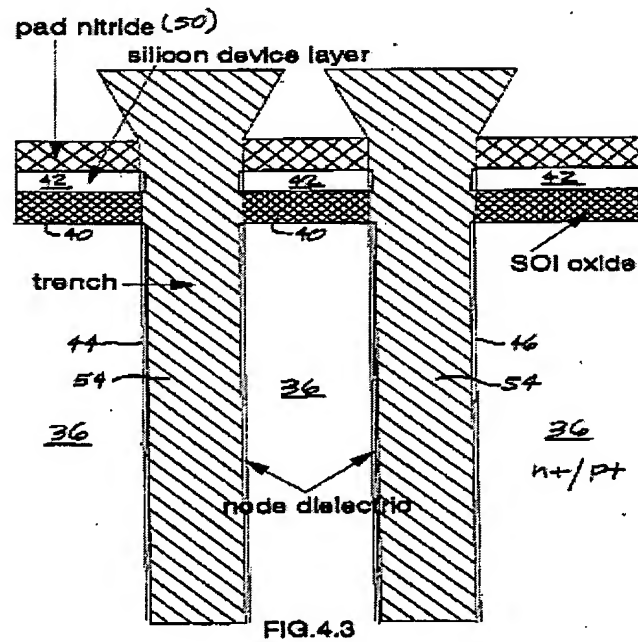
Art Unit: 2823

etching back the polysilicon 54 to a residual portion (col. 3, lines 42-46 and FIG.

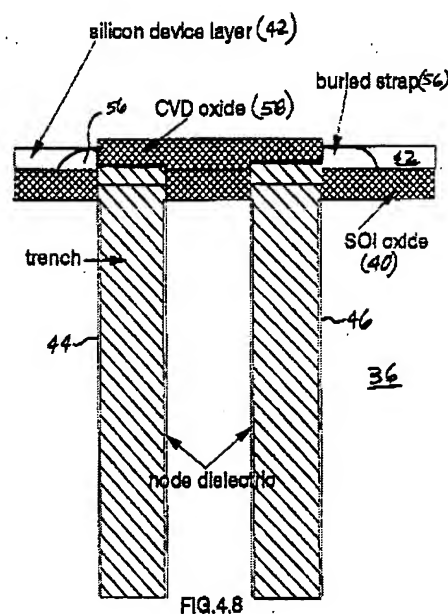
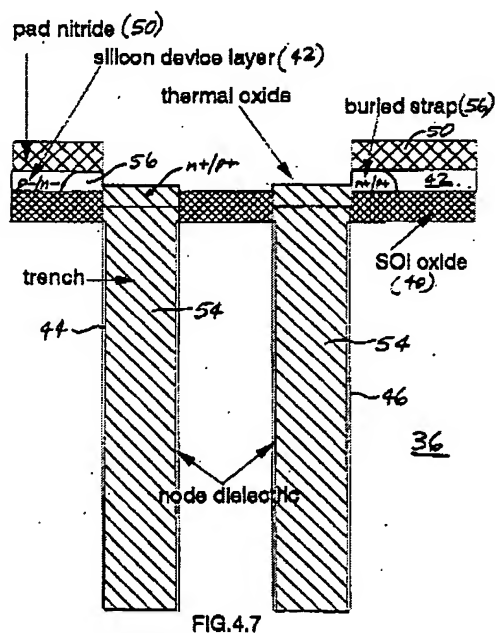
4.4);



removing the sacrificial layer 52 (col. 3, lines 40-41 and FIG. 4.3);



applying an upper boundary layer **58** on the surface of the storage layer **50** and the residual portion of the polysilicon **54** and oxidizing the residual portion of the polysilicon to form an oxide region, the oxide region being thicker than the lower boundary layer **40**, the lower boundary layer, the storage layer and the upper boundary layer acting as a gate dielectric (col. 3, line 47 to col. 4, line 5 and FIGS. 4.7-4.8);



forming a diffusion region in the semiconductor body below the oxide region during the oxidation of the residual portion of the polysilicon, the diffusion region forming the buried bit line (col. 3, lines 56-65).

In re claim 2, Alsmeier discloses that the sacrificial layer **52** is produced as a deposited oxide (col. 3, lines 36-41).

In re claim 3, Alsmeier discloses that the method according to claim 1, which further comprises selecting the storage layer **50** from a group of material consisting of silicon nitride, tantalum oxide, hafnium oxide, hafnium silicate, titanium oxide,

Art Unit: 2823

zirconium oxide, aluminum oxide, and intrinsically conductive silicon (col. 3, lines 24-34).

In re claim 8, Alsmeier discloses that the method according to claim 1, which further comprises producing spacers 48 at walls of the openings before introducing the polysilicon 54 into the openings 44, 46 (col. 3, lines 34-41 and FIGS. 4.1-4.2).

Allowable Subject Matter

Claims 4-7 are previously allowed.

Response to Applicants' Amendment and Arguments

Applicant's arguments with respect to claims 1-8 have been considered but are moot in view of the new ground(s) of rejection.

Applicants contend that no portion of the polysilicon filing is oxidized in the method of Harak et al. (U.S. Patent 6,063,658) herein known as Harak.

In response to Applicants' contention that no portion of the polysilicon filing is oxidized in the method of Harak. Examiner respectfully submits that Applicants' argument is moot in view of the newly discovered reference to Alsmeier et al. (U.S. Patent 5,627,092) applied under 35 U.S.C. 102(b) rejection in the necessitated new ground(s) of rejection presented in this Office Action. Specifically, Alsmeier discloses oxidizing the residual portion of the polysilicon to form an oxide region, the oxide region being thicker than the lower boundary layer 40, the lower boundary layer, the storage layer and the upper boundary layer acting as a gate dielectric (col. 3, line 47 to col. 4, line 5 and FIGS. 4.7-4.8);

For this reason, Examiner holds the rejection proper.

Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a). A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Khiem D. Nguyen whose telephone number is (571) 272-1865. The examiner can normally be reached on Monday-Friday (8:30 AM - 5:30 PM).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Matthew S. Smith can be reached on (571) 272-1907. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2823

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

K.N.
October 25th, 2005



W. DAVID COLEMAN
PRIMARY EXAMINER